

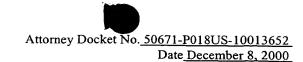


FULBRIGHT & JAWORSKI L.L.P. 2200 Ross Avenue, Suite 2800 Dallas, Texas 75201 214/855-8000

PATENT APPLICATION TRANSMITTAL LETTER

"Express Mail" label no. EL 372554815US

| | | | Date of Deposit: Dec. 11, 2000 | | | | | | |
|------|------------------------------|---|--|--|--|--|--|--|--|
| | | ATENT APPLICATION unt Commissioner | I hereby certify that this is being deposited with the United States Postal Service Express "Mail Post Office to Addressee" service under 37 CFR | | | | | | |
| f | or Pa | atents | 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 | | | | | | |
| W | ashir | ngton, D.C. 20231 | By: Mail & Miller | | | | | | |
| | | | Printed/Typed Name: Gail L. Miller | | | | | | |
| Sir: | | | | | | | | | |
| Tra | nsm | itted herewith for filing under 37 C.F.R. 1.53(b) is a | n): | | | | | | |
| × | Util | lity | | | | | | | |
| × | Original patent application, | | | | | | | | |
| Inv | ento | r(s): Thomas C. Harrop | | | | | | | |
| For | : | SYSTEM AND METHOD FOR ADAPTIVE | RESOURCE MANAGEMENT | | | | | | |
| Enc | lose | d are: | | | | | | | |
| 1. | \boxtimes | 18 pages of written description, claims and abstract | | | | | | | |
| 2. | × | 2 sheets of drawings. | | | | | | | |
| 3. | × | Combined Declaration and Power of Attorney. | | | | | | | |
| | (a) | Newly executed (original or copy) | | | | | | | |
| | (b) | ☐ Copy from prior application (37 CFR 1.63(d)) | (for continuation/divisional if Box 5 completed) | | | | | | |
| | | | x 5 below] | | | | | | |
| 4. | Lj | Incorporation by Reference (useable if Box (b) is checked | | | | | | | |
| | is c | | n a copy of the oath or declaration is supplied under Box 3(b) appanying application and is hereby incorporated by reference | | | | | | |
| 5. | | If a CONTINUING APPLICATION, check appropri | riate box and supply the requisite information: | | | | | | |
| | | □ Continuation □ Divisional □ Continuation | on-in-part (CIP) of prior application Serial No: | | | | | | |
| 6. | \boxtimes | Assignment Papers (cover sheet and document(s)) | of the invention to Objective Systems Integrators, Inc. | | | | | | |
| 7. | × | Applicant claims small entity status. See 37 CFR 1 | .27. | | | | | | |
| 8. | | Information Disclosure Statement and Form PTO-1 | 449. Copies of IDS Citations. | | | | | | |
| 9. | X | Request and Certification of Non-Publication | | | | | | | |
| 10. | | Preliminary Amendment | | | | | | | |
| | | | | | | | | | |



| 11. | Return | Receipt Postcard (MPEP 503) (should be specifically itemized) |
|-------|----------|--|
| 12. 🗆 | Certific | ed Copy of Priority Document(s) (if foreign priority is claimed) |
| 13. 🗆 | Other: | |
| | | |
| | | |

14.

Utility Fee Calculation

| CLAIMS | (1) FOR | (2) NUMBER FILED | | (3)NUMBER EXTRA | 3)NUMBER EXTRA (4) RATE | | | | (5) CALCULATIONS |
|--|---|--------------------|------------|-------------------|-------------------------|----------|-------|----|------------------|
| | TOTAL CLAIMS (37 C.F.R. § 1.16(c) or (j)) | 33 | - 20 = | 13 | x | \$ 1 | 8 | 11 | 234.00 |
| Propher in the second s | INDEPENDENT CLAIMS (37 C.F.R. § 1.16(b) or (i)) | 5 . | - 3 = | 2 | x | \$ 8 | 30 | = | 160.00 |
| 1.0 | MULTIPLE DEPENDENT CL | AIMS (if appli | cable) (37 | C.F.R. § 1.16(d)) | + | 270 | 0.00 | = | |
| | | : • (*) | arya. | | В | asic Fee | | | 710.00 |
| | Total of above Calculations = | | | | | | ons = | | 1,104.00 |
| 31.5 | Reduction by 50% for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27 & 1.28) | | | | | | | | |
| | * Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent | | | | | | TOTAL | = | \$552.00 |

Method of Fee Payment

- 15. A check in the amount of \$516.00 to cover the filing fee is enclosed.
- 16. ☒ A check in the amount of \$40.00 to cover the assignment recordal fee is enclosed.
- 17. Please charge my Deposit Account No. 06-2380 for the amount of \$36.00. A duplicate of this Transmittal Letter is enclosed, if box checked.
- 18. ☐ The Commissioner is hereby authorized to charge any deficiency in the enclosed fees under 37 C.F.R. §1.16, or to charge any patent application processing fees under 37 C.F.R. §1.17, or credit any overpayment, to Fulbright & Jaworski L.L.P. Deposit Account No. 06-2380.

Respectfully submitted,

Jody Bishop

Registration No. 44,034 Counsel for Applicant

Date:

Fulbright & Jaworski L.L.P.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor:

Thomas C. Harrop

Title:

SYSTEM AND

METHOD FOR

R ADAPTIVE

RESOURCE

MANAGEMENT

BOX PATENT APPLICATION Commissioner for Patents Washington, D.C. 20231

REQUEST AND CERTIFICATION OF NON-PUBLICATION

Sir:

I hereby certify that the invention disclosed in the attached application has not been, and is not currently contemplated to be, the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,

Jody C. Bishop

Registration No. 44,034 Counsel for Applicant

Date: 12/11/00

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